

PTO/SB/08a (08-03)  
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Substitute for form 1449A/PTO		<b>Complete If Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	10/849,347
		Filing Date	May 19, 2004
		First Named Inventor	Robert H. Burgener, II
		Group Art Unit	2822
		Examiner Name	Kevin M. Picardat
		Attorney Docket Number	3398.2.8
Sheet 1 Of 1			

U.S. PATENT DOCUMENTS					
Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
KP	U1	US-6,838,308 B2	01/2005	Haga, Koichi	
KP	U2	US-6,707,074 B2	03/2004	Yoshii et al.	
KP	U3	US-5,331,655 A	07/1994	Harder et al.	
KP	U4	US-3,864,725	02/1975	Merrin, Seymour	
	U5				
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	U13				
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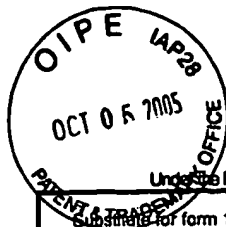
FOREIGN PATENT DOCUMENTS						
Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
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### NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
KP	O1	AULBUR, W.; Density Functional Theory: Basic Ideas & Applications; Ohio State University.	
KP	O2	LOOK, D.C., and CLAFLIN, B.; P-type doping and devices based on ZnO; 08/2003; Wiley-VCH Verlag GmbH & Co.	
KP	O3	ZUNGER, A.; Practical Doping Principles; NCPV and Solar Program Review Meeting 2003; pp. 831-835.	
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KP	O15	WILKINSON, J., XIONG, G., UCER, K.B., and WILLIAMS, R.T.; Lifetime and Oscillator Strength of Excitonic Luminescence in Zinc Oxide; Department of Physics, Wake Forest University, Winston-Salem, NC.	
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Sheet	3	Of	9

KP	O30	KOSSANYI, J., KOUYATE, D., POULIQUEN, J., RONFARD-HARET, J.C., VALAT, P., et al.; Photoluminescence of Semiconducting Zinc Oxide Containing Rare Earth Ions as Impurities; Journal of Luminescence; 1990; pp. 17-24; Vol. 46; Elsevier Science Publishers B.V. (north-Holland).	
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KP	O43	BACHIR, S., KOSSANYI, J., SANDOJLY, C., VALAT, P., and RONFARD-HARET, J.C.; Electroluminescence of Dy <sup>3+</sup> and Sm <sup>3+</sup> Ions in Polycrystalline Semiconducting Zinc Oxide; J. Phys. Chem.; 1995; pp. 5674-5679; Vol. 99; American Chemical Society.	
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KP	O46	ARKLES, B.; Commercial Applications of Sol-Gel-Derived Hybrid Materials; MRS Bulletin; 05/2001; pp. 402-407.	
KP	O47	MURRAY, C.E., NOYAN, I.C., and MOONEY, P.M.; Mapping of strain fields about thin film structures using x-ray microdiffraction; Applied Physics Letters; 11/17/2003; pp. 4163-4165; Vol. 83, No. 20; American Institute of Physics.	
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KP	O50	NOYAN, I.C., JORDAN-SWEET, J., LINIGER, E.G., and KALDOR, S.K.; Characterization of substrate-thin-film interfaces with x-ray microdiffraction; Applied Physics Letters; 06/22/1998; pp. 3338-3340; Vol. 72, No. 25; American Institute of Physics.	
KP	O51	TULLER, H.L.; ZnO Grain Boundaries: Electrical Activity and Diffusion; Journal of Electroceramics; 1999; pp. 33-40; Vol. 4:S1; Kluwer Academic Publishers; Boston.	
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KP	O53	WANG, M., YANG, X., and WANG, F.; Properties of Sensitive Materials Mainly Composed of ZnO; J. Mater. Sci. Technol.; 2000; p. 204; Vol. 16, No. 2.	
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KP	O55	BRANKOVIC, Z., BRANKOVIC, G., POLETI, D., and VARELA, J.A.; Structural and electrical properties of ZnO varistors containing different spinel phases; Ceramics International; 2001; pp. 115-122; Vol. 27; Elsevier Science Ltd. And Techna S.r.l.	
KP	O56	TANAKA, A., and MUKAE, K.; Evaluation of Single Grain Boundaries in ZnO: Rare-Earth Varistor by Micro-Electrodes; Key Engineering Materials; 1999; pp. 235-240; Vols. 157-158; Trans Tech Publications, Switzerland; CSJ Series-Publications of the Ceramic Society of Japan Vol. 1, The Ceramic Society of Japan.	
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KP	O61	JOHNSON, P.D.; Some Optical Properties of MgO in the Vacuum Ultraviolet; Physical Review; 05/15/1954; pp. 845-846; Vol. 94, No. 4.	

Examiner Signature	/Kevin Picardat/	Date Considered	06/24/2006
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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>		Application Number	10/849,347
		Filing Date	May 19, 2004
		First Named Inventor	Robert H. Burgener, II
		Group Art Unit	2822
		Examiner Name	Kevin M. Picardat
		Attorney Docket Number	3398.2.8
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KP	O62	NARAZAKI, A., TANAKA, K., HIRAO, K., HASHIMOTO, T., NASU, H., et al.; IR and XPS Studies on the Surface Structure of Poled ZnO-TeO <sub>2</sub> Glasses with Second-Order Nonlinearity; Journal of the American Ceramic Society; 2001; pp. 214-217; Vol. 84.	
KP	O63	SCHONBERGER, U., and ARYASETIAWAN, F.; Bulk and surface electronic structures of MgO; Physical Review B; 09/15/1995; pp. 8788-8793; Vol. 52, No. 12; The American Physical Society.	
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KP	O65	BALZER, B., HAGEMEISTER, M., KOCHER, P., and LUDWIG, J.G.; Mechanical Strength and Microstructure of Zinc Oxide Varistor Ceramics; Journal of the American Ceramic Society; 2004; pp. 1932-1938; Vol. 87.	
KP	O66	SHENG, H., EMANETOGLU, N.W., MUTHUKUMAR, S., YAKSHINSKIY, B.V., FENG, S., et al.; Ta/Au Ohmic Contacts to n-type ZnO; Journal of Electronic Materials; 2003; p. 935; Vol. 32, No. 9.	
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KP	O68	XIONG, G., WILKINSON, J., MISCHUCK, B., TU'ZEMEN, S., UCER, K.B., et al; Control of p- and n-type conductivity in sputter deposition of undoped ZnO; Applied Physics Letters; 02/18/2002; p. 1195; Vol. 80, No. 7.	
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		Attorney Docket Number	3398.2.8
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KP	O78	WANG, X., DU, G., GU, C., JIA, J., LI, X., et al.; Two-step growth of ZnO thin films on diamond/Si low-pressure metal-organic chemical vapour deposition; J. Phys. D: Appl. Phys.; 2002; pp. L74-L76; Vol. 35; IOP Publishing Ltd., United Kingdom.	
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KP	O83	MYOUNG, J.-M., YOON, W.-H., LEE, D.-H., YUN, I., BAE, S.-H., et al.; Effects of Thickness Variation of Properties of ZnO Thin Films Grown by Pulsed Laser Deposition; Japanese Journal of Applied Physics; 2002; pp. 28-31; Vol. 41; The Japan Society of Applied Physics.	
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KP	O90	TEKE, A., OZGUR, U., DOGAN, S., GU, X., MORKOC, H., et al.; Excitonic fine structure and recombination dynamics in single-crystalline ZnO; Physical Review B; 2004; pp. 195207-1 - 195207-10; Vol. 70; The American Physical Society.	
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KP	O93	LOOK, D.C.; Emerging Research Fronts Comments by David C. Look; ISI Essential Science Indicators; 04/28/2005.	

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		Group Art Unit	2822
		Examiner Name	Kevin M. Picardat
		Attorney Docket Number	3398.2.8
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KP	O94	SENGER, R.T., and BAJAI, K.K.; Binding energies of excitons in polar quantum well heterostructures; Physical Review B; 2003; pp. 205314-1 -205314-9; Vol. 68; The American Physical Society.	
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KP	O99	NEETHLING, J.H., SCRIVEN, G.J., and KREKELS, T.; A TEM investigation of Zn <sub>3</sub> As <sub>2</sub> grown on (001) and (111) InP by MOVPE; Journal of Materials Science; 2001; pp. 3997-4002; Vol. 36; Kluwer Academic Publishers.	
KP	O100	BRINK, D.J., and ENGELBRECHT, A.A.; Ellipsometric investigation of rough zinc arsenide epilayers; Applied Optics; 04/01/2002; pp. 1894-1898; Vol. 41, No. 10; Optical Society of America.	
KP	O101	SCRIVEN, G.J., LEITCH, A.W.R., NEETHLING, J.H., KOZYRKOV, V.V., and WATTERS, V.J.; The growth of Zn <sub>3</sub> As <sub>2</sub> on InP by atmospheric pressure MOVPE; Journal of Crystal Growth; 1997; pp. 813-816; Vol. 170; Elsevier Science B.V.	
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KP	O103	NORMAN, A.G., OLSON, J.M., ROMERO, M.J., and AL-JASSIM, M.M.; Electron Microscopy Studies of Potential 1-eV Bandgap Semiconductor Compounds AnGaAs <sub>2</sub> and Zn <sub>3</sub> As <sub>2</sub> Grown by MOVPE; National Renewable Energy Laboratory.	
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KP	O105	TOMLINS, G.W., ROUTBORT, J.L., and MASON, T.O.; Oxygen Diffusion in Single-Crystal Zinc Oxide; Journal of the American Ceramic Society; 1998; pp. 869-876; Vol. 81.	
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KP	O107	XIONG, G., WILKINSON, J., MISCHUCK, B., TUZEMEN, S., UCER, K.B., et al.; Control of p- and n-type conductivity in sputter deposition of undoped ZnO; Applied Physics Letters; 02/18/2002; pp. 1195-1197; Vol. 80, No. 7; American Institute of Physics.	
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KP	O109	AOKI, T., SHIMIZU, Y., MIYAKE, A., NAKAMURA, A., NAKANISHI, Y., and HATANAKA, Y.; p-Type ZnO Layer Formation by Excimer Laser Doping; phys. stat. sol.; 2002; pp. 911-914; Vol. 229, No. 2; WILEY-VCh Verlag Berlin GmbH, Berlin.	

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		Group Art Unit	2822
		Examiner Name	Kevin M. Picardat
		Attorney Docket Number	3398.2.8
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KP	O110	LEE, J-M., KIM, K.K., PARK, S-J., and CHOI, W.K.; Low-resistance and non-alloyed ohmic contacts to plasma treated ZnO; Applied Physics Letters; 06/11/2001; pp. 3842-2844; Vol. 78, No. 24; American Institute of Physics.	
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KP	O119	JI, Z., YANG, C., LIU, K., and YE, Z.; Fabrication and characterization of p-type ZnO films by pyrolysis of zinc-acetate—ammonia solution; Journal of Crystal Growth; 2003; pp. 239-242; Vol. 253; Elsevier Science B.V.	
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		Attorney Docket Number	3398.2.8
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Examiner Signature	/Kevin Picardat/	Date Considered	06/24/2006
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